Application No.: 09/17160
NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s)

1. This application deady falls to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Usting" as required by 37 C.F.R. 1.821(c).
3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
7. Other:
Applicant Must Provide:
An <u>Initial</u> or substitute computer readable form (CRF) copy of the "Sequence Listing".
An <u>Initial</u> or substitute paper copy of the "Sequence Listing", as well as an amendment directing its ento-
A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(d). For questions regarding compliance to these sequinary of the sequence of the sequenc
For questions regarding compliance to these requirements, please contact: For Rules Interpretation, call (703) 308-4216
For CRF Submission Help, call (703) 308-4212 For Patentin software help, call (703) 308-6856
PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE

COPY FOR [/ File [] Applicant

RAW SEQUENCE LISTING PATENT APPLICATION US/09/171,607

DATE: 02/11/2000 TIME: 17:20:01

INPUT SET: S34699.raw

This Raw Listing contains the General Information Section and up to the first 5 pages.

```
SEQUENCE LISTING
 1
 2
 3
           General Information:
    (1)
    (i) APPLICANT:
    (A) NAME: Wolf-Georg Forssmann
    (B) STREET: Feodor-Lynen-Str. 31
    (C) CITY: Hannover
9
    (E) COUNTRY: Germany
    (F) POSTAL CODE: D-30625
10
11
12
    (ii) TITLE OF INVENTION: A Biologically Active Protein -
    Collagen Fragment HF-COLL-18/514cf - for Inhibiting
13
    Tumor Growth and Capillary Proliferations
14
15
16
    (iii) NUMBER OF SEQUENCES: 1
17
18
    (V) COMPUTER READABLE FORM:
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    (A) MEDIUM TYPE: Floppy disk
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    (B) COMPUTER: IBM PC compatible
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    (C) OPERATING SYSTEM: PC-DOS/MS-DOS
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    (D) SOFTWARE: PatentIn Release #1.0, Version #1.30 (EPO)
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    (vi) CURRENT APPLICATION DATA:
25
    (A) APPLICATION NUMBER:
26
    (B) FILING DATE:
27
28
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    (B) TYPE: amino acid
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    (C) STRANDEDNESS: single-stranded
35
    (D) TOPOLOGY: unknown
36
    (ii) MOLECULE TYPE: peptide
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38
39
    (iii) HYPOTHETICAL: no
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41
42
43
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45
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RAW SEQUENCE LISTING PATENT APPLICATION US/09/171,607

DATE: 02/11/2000 TIME: 17:20:01

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58 59 60	Val	Arg 50	Arg	Ala	Asp	Arg	Ala 55	Ala	Val	Pro	Ile	Val 60	Asn	Leu	Lys	Asp
62 63 64	Glu 65	Leu	Leu	Phe	Pro	Ser 70	Trp	Glu	Ala	Leu	Phe 75	Ser	Gly	Ser	Glu	Gly 80
65 66 67 68	Pro	Leu	Lys	Pro	Gly 85	Ala	Arg	Ile	Phe	Ser 90	Phe	Asp	Gly	Lys	Asp 95	Val
69 70 71 72 73	Leu	Arg	His	Pro 100	Thr	Trp	Pro	Gln	Lys 105	Ser	Val	Trp	His	Gly 110	Ser	Asp
74 75 76 77	Pro	Asn	Gly 115	Arg	Arg	Leu	Thr	Glu 120	Ser	туг	Cys	Glu	Thr 125	Trp	Arg	Thr
78 79 80 81	Glu	Ala 130	Pro	Ser	Ala	Thr	Gly 135	Gln	Ala	Ser	Ser	Leu 140	Leu	Gly	Gly	Arg
82 83 84	Leu 145	Leu	Gly	Gln	Ser	Ala 150	Ala	Ser	Cys	His	His 155	Ala	Tyr	Ile	Val	Leu 160
85 86 87 88 89 90	Cys	Ile	Glu	Asn	Ser 165	Phe	Met	Thr	Ala	Ser 170						

RAW SEQUENCE LISTING PATENT APPLICATION US/09/171,607

DATE: 02/11/2000 TIME: 17:20:02

INPUT SET: S34699.raw

***** PREVIOUSLY ERRORED SEQUENCES - EDITED *****

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(2) INFORMATION FOR SEQ ID NO: 1:
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    (i) SEQUENCE CHARACTERISTICS:
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    (A) LENGTH: 170 amino acids
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33
    (B) TYPE: amino acid
    (C) STRANDEDNESS: single-stranded
34
35
    (D) TOPOLOGY: unknown
36
37
    (ii) MOLECULE TYPE: peptide
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    (iii) HYPOTHETICAL: no
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42
    (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:
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45
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51
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63
64
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65
                          85
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67
68
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70
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72
73
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74
75
                  115
                                       120
                                                            125
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PAGE: 4	RAW SEQUENCE LISTING PATENT APPLICATION US/09/171,607							
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77								
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80								
81								
82	Leu Leu Gly Gln Ser Ala Ala Ser Cys His His Ala Tyr Ile Val	Leu						
83	145 150 155	160						
84								
85	Cys Ile Glu Asn Ser Phe Met Thr Ala Ser							
86	165 170							
87 .								
88								
89								
90								
91								

SEQUENCE VERIFICATION REPORT PATENT APPLICATION *US/09/171,607*

DATE: 02/11/2000 TIME: 17:20:02

Line	Error	Original Text
5	Mandatory Value Not Present	(i) APPLICANT:
6	Unknown or Misplaced Identifier	(A) NAME: Wolf-Georg Forssmann
7	Unknown or Misplaced Identifier	(B) STREET: Feodor-Lynen-Str. 31
8	Unknown or Misplaced Identifier	(C) CITY: Hannover
9	Unknown or Misplaced Identifier	(E) COUNTRY: Germany
10	Unknown or Misplaced Identifier	(F) POSTAL CODE: D-30625



RAW SEQUENCE LISTING PATENT APPLICATION US/09/171,607

DATE: 02/11/2000 TIME: 00:26:54

INPUT SET: S34699.raw

This Raw Listing contains the General Information Section and those Sequences containing ERRORS.

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SEQUENCE LISTING
 1
 2
           General Information:
 3
    (1)
    (i) APPLICANT:
5
    (A) NAME: Wolf-Georg Forssmann
                                                              Does Not Comply
7
    (B) STREET: Feodor-Lynen-Str. 31
                                                          Corrected Diskette Needed
 8
    (C) CITY: Hannover
 9
    (E) COUNTRY: Germany
10
    (F) POSTAL CODE: D-30625
11
    (ii) TITLE OF INVENTION: A Biologically Active Protein -
12 -
    Collagen Fragment HF-COLL-18/514cf - for Inhibiting
13
    Tumor Growth and Capillary Proliferations
14
15
16
    (iii) NUMBER OF SEQUENCES: 1
17
18 (/) (iv) COMPUTER READABLE FORM:
    (A) MEDIUM TYPE: Floppy disk
19
    (B) COMPUTER: IBM PC compatible
20
    (C) OPERATING SYSTEM: PC-DOS/MS-DOS
21
    (D) SOFTWARE: PatentIn Release #1.0, Version #1.30
22
    (EPO)
  (vi) work APP DATA;
                       add Leadings
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ERRORED SEQUENCES FOLLOW:

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(2) INFORMATION FOR SEQ ID NO: 1:
25
26
     (i) SEQUENCE CHARACTERISTICS:
27
     (A) LENGTH: 170 amino acids
29
     (B) TYPE: amino acid
     (C) STRANDEDNESS: single-stranded
30
     (D) TOPOLOGY: unknown
31
32
33
     (ii) MOLECULE TYPE: peptide
34
35
     (iii) HYPOTHETICAL: no
36
37
38
39
     (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:
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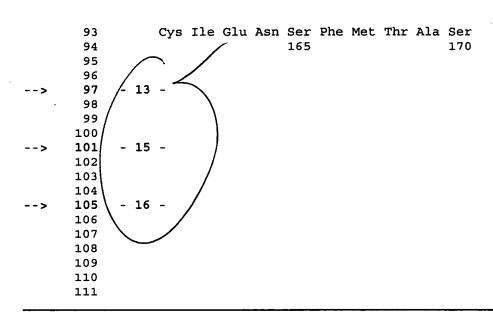
RAW SEQUENCE LISTING PATENT APPLICATION US/09/171,607

DATE: 02/11/2000 TIME: 00:26:55

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43	Gly										10					15		Oer.
44 45		1				5					10					13		
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47 48	Gly	АТА	Asp	Pne	GIN	Cys	Pne	Gin	Gin	Ala	Arg	Ala	vaı ——	GIY	ьeu	Ala グ	`	
49	2				20					25					30		·	
50 51																		
52		Thr	Phe	Arg	Ala	Phe	Leu	Ser	Ser	Arg	Leu	Gln	Asp	Leu	Tyr	Ser		
53	Ile			2.5					4.0					45				
54 55 ·				35					40					45				
56					_				_	_			_					
57 58	λen	Val	Arg	Arg	Ala	Asp	Arg	Ala	Ala	Val	Pro	Ile	Val	Asn	Leu	Lys		
59	Asp		50					55					60					
60																		
61 62		Glu	Leu	Leu	Phe	Pro	Ser	Trp	Glu	Ala	Leu	Phe	Ser	Glv	Ser	Glu		
63	Gly													1				
64	0.0	65					70					75						
65 66	80			•														
67		Pro	Leu	Lys	Pro	Gly	Ala	Arg	Ile	Phe	Ser	Phe	Asp	Gly	Lys	Asp		
68 69	Val					85					90					95		
70						Ų,					50					,,		
71		•	3	***	D	ml		D	01 -	T	9	*** 1	/T	***	a 1	C		
72 73	Asp	Leu	Arg	His	Pro	Inr	Trp	Pro	GIN	гуѕ	ser	vai	Trp	HIS	GIY	ser		
74					100					105					110			
75 76																		
77																		
78	_,	Pro	Asn	Gly	Arg	Arg	Leu	Thr	Glu	Ser	Tyr	Cys	Glu	Thr	Trp	Arg		
79 80	Thr			115					120					125				
81																		
82		C1	- ר ג	Dro	ee	ת ות	Th∽	G1	C1 ~	- רג	gc~	gc~	Len	Len	G1	cl.,		
83 84	Arg	GIU	AIG	Pro	ser	AId	inr	стĀ	GIII	ALG	ser	ser	ьeu	ьeu	GIÀ	Gly		
85	J		130					135					140					
86 87																		
88		Leu	Leu	Gly	Gln	Ser	Ala	Ala	Ser	Cys	His	His	Ala	Tyr	Ile	Val		
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RAW SEQUENCE LISTING PATENT APPLICATION US/09/171,607

DATE: 02/11/2000 TIME: 00:26:55



SEQUENCE MISSING ITEM REPORT PATENT APPLICATION US/09/171,607

DATE: 02/11/2000 TIME: 00:26:55

INPUT SET: S34699.raw

ADDRESSEE
STREET
CITY
STATE
COUNTRY
ZIP
CORRESPONDENCE ADDRESS
APPLICATION NUMBER
FILING DATE
CLASSIFICATION
CURRENT APPLICATION DATA
APPLICATION NUMBER
FILING DATE
PRIOR APPLICATION DATA